



02-15-07

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Approved for use through 07/31/2006. OMB 0651-0032

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Effective on 12/08/2004.

Sustained to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

## Complete if Known

Application Number	10/684,899
Filing Date	Oct. 9, 2003
First Named Inventor	Brent E. Little
Examiner Name	John D. Lee
Art Unit	2874
Attorney Docket No.	3568.006

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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<input type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input type="checkbox"/> Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity	Fee (\$)
- 20 or HP =	0	x	= 0	50	25

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)
- 3 or HP =	0	x	= 0	200	100

HP = highest number of independent claims paid for, if greater than 3.

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 = (round up to a whole number) x	0	0

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **Certificate of Correction - Code 1811** **Fees Paid (\$)** **100.00**

## SUBMITTED BY

Signature	Marc Brockhaus	Registration No. (Attorney/Agent)	40,923	Telephone	405-607-8600
Name (Print/Type)	Marc A. Brockhaus			Date	Feb. 13, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEB 21 2007

Certificate  
FEB 20 2007  
Of Correction



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/684,899
Filing Date	Oct. 9, 2003
First Named Inventor	Brent E. Little
Art Unit	2874
Examiner Name	John D. Lee
Attorney Docket Number	3568.006

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation of POA, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Certificate of Correction</b>
<input type="checkbox"/> Remarks 1. Credit Card Payment Form (1 page); 2. Fee Transmittal (1 page); 3. Transmittal (1 page); 4. Request For Certificate of Correction of Patent (2 pages); 5. Certificate of Correction (1 page); and 6. Postcard.		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	DUNLAP, CODDING & ROGERS, P.C.	
Signature	<i>Marc Brockhaus</i>	
Printed name	Marc A. Brockhaus	
Date	Feb. 13, 2007	Reg. No. 40,923

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: \*\*\* SENT BY EXPRESS MAIL NO. EV 616014499 US, DATED 02/13/2007 \*\*\*

Signature	<i>Marc Brockhaus</i>		
Typed or printed name	Marc A. Brockhaus	Date	Feb. 13, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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*FEB 21 2007*



Express Mail: EV 616014499 US  
Date Deposited: February 13, 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,079,727 B1  
Serial No.: 10/684,899  
Issued: July 18, 2006  
Inventor: Brent E. Little

Atty. Docket No.: 3568.006  
Customer No.: 30589

**Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR PTO AND/OR APPLICANT'S MISTAKES (37 CFR 1.322(a) and 1.323)**

Enclosed are:

- PTO-2038 Credit Card Form;
- sb17 Fee Transmittal Form;
- sb21 Transmittal Form;
- 1 sheet(s) of form sb44 Certificate of Correction; and
- pre-addressed Postal Card

**[X] PTO ERRORS**

The exact column and line number where the mistakes occur in the patent as well as reference to the exact page and line number where the correct information occurs in the application file:

Column 8, Line 21: After the words "glasses or" insert -- polymers. Common materials that form the core are doped silica, silicon, silicon nitride, --.  
(See Application, filed 10/9/03, pg. 14, line 1)

02/15/2007 CNEGA1 00000027 7079727

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No fee is submitted herewith.

**[ X ] APPLICANT'S ERRORS**

It is noted that errors appear in this patent of a clerical or typographical nature or a minor character as more fully described below. These errors occurred in good faith, and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. Thus, a Certificate of Correction is requested.

The exact column and line number where the mistakes occur in the patent are:

Column 4, Line 62: After the word "passive" insert the word -- guide --.

Column 5, Line 29: After the word "fabrication" delete the word "of".

Line 32: Delete the word "of" at the beginning of the line.

Line 54: Delete the word "wavegudie" and replace with -- waveguide --.

Column 7, Line 48: Delete the word "Propagaiton" and replace with -- Propagation --.

**[ X ] Payment by credit card. Form PTO-2038 is attached..**

**[ X ] RETURN OF CERTIFICATE**

Please send the Certificate of Correction to the undersigned.

*Marc Brockhaus*  
\_\_\_\_\_  
Marc A. Brockhaus, Reg. No. 40,923  
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Attorney for Applicant

FEB 21 2007

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,079,727 B1

APPLICATION NO.: 10/684,899

ISSUE DATE : July 18, 2006

INVENTOR(S) : Brent E. Little

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 4, Line 62: After the word "passive" insert the word -- guide --.

Column 5, Line 29: After the word "fabrication" delete the word "of".

Line 32: Delete the word "of" at the beginning of the line.

Line 54: Delete the word "wavegudie" and replace with -- waveguide --.

Column 7, Line 48: Delete the word "Propagaiton" and replace with -- Propagation --.

Column 8, Line 21: After the words "glasses or" insert -- polymers. Common materials that form the core are doped silica, silicon, silicon nitride, --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Marc A. Brockhaus, Reg. # 40,923  
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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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